



PATENT APPLICATION  
CH-7929  
LeA 36,206

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION OF )  
FRANK BERENDES ET AL ) GROUP NO.: 1625  
SERIAL NUMBER: 10/669,424 ) EXAMINER: NILOOFAR RAHMANI  
FILED: SEPTEMBER 24, 2003 )  
TITLE: PROCESS FOR PREPARING 3- )  
HETEROARYL-3-HYDROXY- )  
PROPANOIC ACID DERIVATIVES )

**CLAIM FOR PRIORITY UNDER 35 USC §119**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

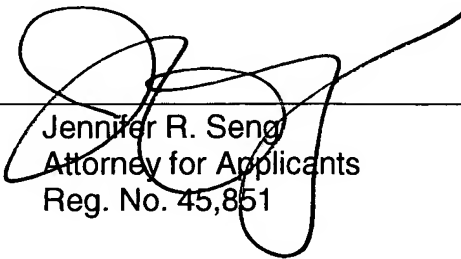
Applicants hereby claim foreign priority benefits under Title 35, United States Code, §119, as stated on their previously submitted Declaration and Power of Attorney document.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an enveloped addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 or facsimile transmitted to the USPTO on the date below:

Jennifer B. Seng Reg. No. 47,851  
Name of applicant, assignee or Registered Representative  
Signature  
March 22, 2006  
Date

Applicants further submit the enclosed English Language Translation certified copy of German application 102 44 811.6 as foreign priority papers on the above-identified U.S. application.

Respectfully submitted,

By   
Jennifer R. Seng  
Attorney for Applicants  
Reg. No. 45,851

LANXESS Corporation  
Law & Intellectual Property Department  
111 RIDC Park West Drive  
Pittsburgh, Pennsylvania 15275-1112  
(412) 809-2233  
FACSIMILE PHONE NUMBER:  
(412) 809-1054

\\sr\\S:\\Law Shared\\SHARED\\JRS\\PATENTS\\7929\\claim priority-Eng. Translation.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

I, Susan ANTHONY BA, ACIS,

Director of RWS Group Ltd, of Europa House, Marsham Way, Gerrards Cross, Buckinghamshire, England declare;

1. That I am a citizen of the United Kingdom of Great Britain and Northern Ireland.
2. That the translator responsible for the attached translation is well acquainted with the German and English languages.
3. That the attached is, to the best of RWS Group Ltd knowledge and belief, a true translation into the English language of the accompanying copy of the specification filed with the application for a patent in Germany on 26 September 2002 under the number 102 44 811.6 and the official certificate attached hereto.
4. That I believe that all statements made herein of my own knowledge are true and that all statements made on information and belief are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application in the United States of America or any patent issuing thereon.

A handwritten signature in black ink, appearing to be "S. A. BA", written over a horizontal line.

For and on behalf of RWS Group Ltd

The 28th day of February 2006

**FEDERAL REPUBLIC OF GERMANY**

**[Eagle crest]**

**Priority Certificate  
for the filing of a Patent Application**

**File Reference:** 102 44 811.6

**Filing date:** 26 September 2002

**Applicant/Proprietor:** BAYER AKTIENGESELLSCHAFT, Leverkusen/DE

**Title:** Process for preparing 3-heteroaryl-3-hydroxypropanoic acid  
derivatives

**IPC:** C 12 P, C 07 D

**The attached documents are a correct and accurate reproduction of the original  
submission for this Application.**

Munich, 22 August 2003

**German Patent and Trademark Office**

**The President**

[Seal of the German Patent  
and Trademark Office]

pp

[signature]

Stremme

**Process for preparing 3-heteroaryl-3-hydroxypropanoic acid derivatives**

5 The invention relates to a process for preparing enantiomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives and 3-heteroaryl-1-aminopropan-3-ols, and to their use.

10 3-Heteroaryl-3-hydroxypropanoic acid derivatives and 3-heteroaryl-1-aminopropan-3-ols have gained industrial significance, in particular, as intermediates for producing medicaments. Thus, some 3-heteroaryl-3-hydroxypropanoic acid derivatives and 3-heteroaryl-1-aminopropan-3-ols are used, for example, as precursor substances for preparing inhibitors of the uptake of serotonin or noradrenaline. In the case of some of these inhibitors, it has been shown that certain enantiomers are not only inactive, or less active, but are even able to exhibit undesirable side-effects (US-A 5 104 899).

15 A process for preparing enantiomer-enriched (1S)-3-(methylamino)-1-(2-thiophenyl)-1-propanol proceeding from 1-(2-thiophenyl)-3-chloropropan-1-one is described in Chirality 2000, 12, 26-29. Following reduction to the racemic 3-chloro-1-(2-thienyl)-1-propanol, the racemate is resolved enzymically and the (S) enantiomer is subjected to further reaction with NaI and methylamine to give (S)-3-(methylamino)-1-(2-thiophenyl)propan-1-ol. This method suffers from the disadvantage that, in principle, only 50% of the desired enantiomer can be obtained when racemates are resolved enzymically and the total yield is therefore economically unacceptable.

25 It is already known that microorganisms, such as yeasts or fungi, can be used to reduce 3-oxocarboxylic acid derivatives enantioselectively to give the corresponding enantiomer-enriched 3-hydroxycarboxylic acid derivatives (see also Sybesma et al., Biocatalysis and Biotransformation, 1998, Vol. 16, 95-134; Dahl et al., Tetrahedron: Asymmetry 10, 1999, 551-559, Dehli et al., Tetrahedron: Asymmetry 11, 2000, 3693-3700, Hayakawa et al, Tetrahedron Letters, 1998, Vol. 39, 67-70, Cabon et al.,

30

Tetrahedron: Asymmetry 6, 1995, 2199-2210 and Smallridge et al., Tetrahedron Letters, 1998, Vol. 39, 5121-5124).

5 In addition, EP-A 447 938 describes the enantioselective synthesis of 2-halo-3-hydroxy-3-phenylpropanoic esters by using various organisms to reduce the 2-halo-3-oxo-3-phenylpropanoic esters.

10 Furthermore, Chenevert et al., Tetrahedron 1992, Vol.48, 6769-6776 disclose the asymmetric synthesis of both enantiomers of the antidepressant fluoxetine (N-methyl-3-(4-trifluoromethylphenoxy)-3-phenylpropylamine hydrochloride). An important step in the multi-stage synthesis is that of using microorganisms to effect the enantioselective reduction of the ethyl 3-oxo-3-phenylpropanoate.

15 An analogous synthesis, for preparing (R)-tomoxetine, which acts as an antidepressant, is described in Kumar A. et al., Tetrahedron Letters, 1991, Vol. 32, 1901-1904. The enantioselective reduction of the ethyl 3-oxo-3-phenylpropanoate to give ethyl 3-hydroxy-3-phenylpropanoate is an important step in this synthesis as well.

20 However, the enantioselective reduction of heteroaryl ketones has not previously been described.

25 There was still the need, therefore, to provide a process which makes it possible to prepare enantiomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives.

A process for preparing stereoisomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives has now been found, which process is characterized in that

- compounds of the formula (I)

30 heteroaryl-CO-CH<sub>2</sub>W (I),

in which

heteroaryl is a monocyclic or bicyclic aromatic radical having a total of from 5 to 10 ring atoms, where none, one or two ring atoms, selected from the group oxygen, sulphur and nitrogen, can be present per cycle and one or two can be present in the entire aromatic radical, and where the monocyclic or bicyclic aromatic radical is optionally substituted, once, twice or three times, by radicals which are selected, in each case independently of each other, from the group hydroxyl, C<sub>1</sub>-C<sub>8</sub>-alkyl, cyano, COOH, COOM, where M is an alkali metal ion or a half equivalent of an alkaline earth metal ion, COO-(C<sub>1</sub>-C<sub>4</sub>-alkyl), O-(C<sub>1</sub>-C<sub>4</sub>-alkyl), N(C<sub>1</sub>-C<sub>4</sub>-alkyl)<sub>2</sub>, NH-(C<sub>1</sub>-C<sub>4</sub>-alkyl), fluorine, NO<sub>2</sub>, chlorine, bromine, C<sub>1</sub>-C<sub>4</sub>-fluoroalkyl, CONH<sub>2</sub> or CONH-(C<sub>1</sub>-C<sub>4</sub>-alkyl), and

W is C(O)YR<sup>1</sup><sub>n</sub>, where Y is = oxygen and n is = 1 or Y is nitrogen and n is = 2, or

W is CN, and

R<sup>1</sup> are, in each case independently of each other, hydrogen, C<sub>1</sub>-C<sub>8</sub>-alkyl, C<sub>4</sub>-C<sub>10</sub>-aryl or C<sub>5</sub>-C<sub>11</sub>-arylalkyl or, when Y is nitrogen, the two radicals R<sup>1</sup> are together C<sub>3</sub>-C<sub>5</sub> alkylene,

- are reacted in the presence of microorganisms and/or cell preparations thereof, and
- in the presence of water having a pH range of from 3 to 11, based on 25°C,

and, in this way, enantiomer-enriched compounds of the formula (II),

heteroaryl-CH(OH)-CH<sub>2</sub>W (II),

in which heteroaryl and W have the abovementioned meaning, are obtained.

Within the context of the invention, all the radical definitions, parameters and specifications which are general or which are mentioned in preference ranges, and  
5 which are given above or cited in that which follows, can be combined amongst themselves, that is between the respective ranges and preference ranges as well, in any arbitrary manner.

Within the meaning of the invention, the term enantiomer-enriched encompasses, in  
10 particular, enantiomerically pure compounds or arbitrary mixtures of enantiomers in which one enantiomer is present in a larger proportion than the other enantiomer, preferably in a relative proportion of from 60% to 100 mol%, particularly preferably from 80 to 100 mol% and, very particularly preferably, from 90 to 100 mol%.

15 Within the context of the invention, **alkyl** is, in each case independently, a straight-chain or cyclic, independently thereof branched or unbranched, alkyl radical which can be further substituted by C<sub>1</sub>-C<sub>4</sub>-alkoxy radicals. The same applies to the nonaromatic moiety of an arylalkyl radical.

20 For example, within the context of the invention, C<sub>1</sub>-C<sub>4</sub>-alkyl is methyl, ethyl, 2-ethoxyethyl, n-propyl, isopropyl, n-butyl and tert-butyl, while C<sub>1</sub>-C<sub>8</sub>-alkyl is, in addition to this, for example, n-pentyl, cyclohexyl, n-hexyl, n-heptyl, n-octyl or iso-octyl.

**Fluoroalkyl** is, in each case independently, a straight-chain, cyclic, branched or  
25 unbranched alkyl radical which is substituted, once, more than once or completely, by fluorine atoms.

For example, C<sub>1</sub>-C<sub>4</sub>-fluoroalkyl is trifluoromethyl, 2,2,2-trifluoroethyl, pentafluoroethyl, nonafluorobutyl and heptafluoroisopropyl.



Within the context of the invention, **aryl** is, for example and preferably, carbocyclic aromatic radicals or heteroaromatic radicals which contain no, one or two, but at least one in the entire heteroaromatic radical, heteroatom(s) which is/are selected from the group nitrogen, sulphur and oxygen.

5

In addition, the carbocyclic aromatic radicals or heteroaromatic radicals can be substituted by one or two substituents per cycle, which substituents are selected, in each case independently of each other, for example and preferably, from the group hydroxyl, C<sub>1</sub>-C<sub>4</sub>-alkyl, cyano, COOH, COOM, where M is an alkali metal ion or a half equivalent of an alkaline earth metal ion, COO-(C<sub>1</sub>-C<sub>4</sub>-alkyl), O-(C<sub>1</sub>-C<sub>4</sub>-alkyl), N(C<sub>1</sub>-C<sub>4</sub>-alkyl)<sub>2</sub>, NH-(C<sub>1</sub>-C<sub>4</sub>-alkyl), fluorine, chlorine, bromine, C<sub>1</sub>-C<sub>4</sub>-fluoroalkyl, CONH<sub>2</sub> or CONH-(C<sub>1</sub>-C<sub>4</sub>-alkyl). The same applies to the aryl moiety of an arylalkyl radical.

10

In the formulae (I) and (II), heteroaryl is preferably a monocyclic aromatic radical having a total of 5 or 6 ring atoms in which one or two ring atoms are selected from the group oxygen, sulphur and nitrogen and where the monocyclic aromatic radical contains no, one or two radical(s) which is/are selected, in each case independently of each other, from the group methyl, ethyl, n-propyl, isopropyl, cyano, COOH, COONa, COOK, COO-methyl, COO-ethyl, COO-tert-butyl, COO-phenyl, methoxy, ethoxy, dimethylamino, diethylamino, methylamino, ethylamino, fluorine, chlorine, NO<sub>2</sub>, trifluoromethyl, pentafluoroethyl, heptafluoroisopropyl, CONH<sub>2</sub> and CONH-methyl.

20

Heteroaryl is, particularly preferably, 2- or 3-thiophenyl, 2- or 3-furanyl, 2- or 3-pyrrolyl, 3- or 4-pyrazolyl, 1-, 2- or 4-thiazolyl, 1-, 2- or 4-oxazolyl, 2-, 4- or 5-imidazolyl, 2-, 3- or 4-pyridyl, 2- or 3-pyrazinyl, 2-, 4- or 5-pyrimidyl, 3-, 4-, 5- or 6-pyridazinyl, 2- or 3-indolyl, 3-indazolyl, indazolyl, 2- or 3-benzofuranyl, 2- or 3-benzothiophenyl, 2-, 3- or 4-quinolinyl or isoquinolinyl, where each of the radicals mentioned carries no, one or two, and preferably no, substituents which are in each case selected, independently of each other, from the group methyl, ethyl, n-propyl,

30

isopropyl, cyano, methoxy, ethoxy, fluorine, chlorine, trifluoromethyl, pentafluoroethyl and heptafluoroisopropyl.

Heteroaryl is very particularly preferably 2-thiophenyl.

5

R<sup>1</sup> is preferably CN or COOR<sup>1</sup>, where R<sup>1</sup> is hydrogen or methyl or ethyl.

Preferred compounds of the formula (I) are methyl 3-oxo-3-(2-thiophenyl)-propanoate, ethyl 3-oxo-3-(2-thiophenyl)propanoate, methyl 3-oxo-3-(3-thiophenyl)-propanoate, ethyl 3-oxo-3-(3-thiophenyl)propanoate, methyl 3-oxo-3-(2-furanyl)-propanoate, ethyl 3-oxo-3-(2-furanyl)propanoate, methyl 3-oxo-3-(3-furanyl)-propanoate, ethyl 3-oxo-3-(3-furanyl)propanoate, methyl 3-oxo-3-(2-pyridinyl)-propanoate, ethyl 3-oxo-3-(2-pyridinyl)propanoate, methyl 3-oxo-3-(3-pyridinyl)-propanoate, ethyl 3-oxo-3-(3-pyridinyl)propanoate, methyl 3-oxo-3-(4-pyridinyl)-propanoate, ethyl 3-oxo-3-(4-pyridinyl)propanoate, 3-oxo-3-(2-thiophenyl)propanonitrile, 3-oxo-3-(3-thiophenyl)propanonitrile, 3-oxo-3-(2-furanyl)propanonitrile, 3-oxo-3-(3-furanyl)propanonitrile, 3-oxo-3-(2-pyridinyl)propanonitrile, 3-oxo-3-(3-pyridinyl)propanonitrile, 3-oxo-3-(4-pyridinyl)propanonitrile and N-(methyl)-3-oxo-3-(2-thiophenyl)propanamide.

20

The microorganisms which are preferably employed are bacteria, yeasts or fungi, with both wild types and transformed strains being included.

Microorganisms which are particularly preferred are yeasts and fungi, very particularly preferably those of the genera *Saccharomyces*, *Geotrichum*, *Candida*, *Pichia*, *Hansenula*, *Yarrowia*, *Rhizopus*, *Mortierella*, *Mucor*, *Sporotrichum*, *Rhodotorula*, *Trichoderma*, *Aspergillus*, *Penicillium*, *Pullaria*, *Cunninghamella* and *Curvularia*.

Microorganisms which are even more preferred are *Saccharomyces cerevisiae* and *Geotrichum candidum*.

30

Cell preparations are to be understood as meaning: purified or unpurified lysed cells which can be used either in the moist state or in the dried state, for example as lyophilisates.

5 Preference is given to using microorganisms.

In a preferred embodiment, the microorganisms are grown, prior to the compounds of the formula (I) being reacted, on complex or mineral nutrient media, using culturing methods which are customary per se for growing the given microorganisms, such as  
10 culturing in shaken flasks, batch fermentations, fed-batch fermentations or continuous fermentations, up to an optical density of from 1 to 800, preferably of from 5 to 300, measured at a wavelength of 600 nm ( $OD_{600}$ ), and concentrated, where appropriate, after having been grown.

15 The microorganisms can be grown, for example, at temperatures of between 10 and 60°C, preferably of between 20 and 40°C.

In addition, the pH when growing the microorganisms can, for example, be between pH 3 and pH 9, preferably between pH 4 and pH 8, particularly preferably between  
20 pH 5 and pH 7.5. In this connection, pH values are in each case based on 25°C, within the entire scope of the invention.

The microorganisms can be grown under aerobic or anaerobic conditions; they are preferably grown aerobically.

25

For the reaction, the compound of the formula (I) is, in a preferred embodiment, added to the microorganisms which are either present in the growth medium or resuspended, where appropriate after prior sedimentation, in an isotonic solution.

30 In this connection, the isotonic solution can be a mineral salt solution or also a nutrient medium for microorganisms.

The mixture can, for example and preferably, be shaken or stirred and, where appropriate, aerated.

5 The process according to the invention can be carried out in a pH range of from pH 3 to pH 11, preferably of from pH 4 to pH 10, and particularly preferably of from pH 6 to pH 8.

10 The process according to the invention is, furthermore, customarily carried out at a temperature of from 10 to 60°C, preferably of from 18 to 45°C.

The duration of the reaction can be from 10 min to 96 hours, preferably from 60 min to 72 hours and particularly preferably from 2 to 48 hours.

15 The process according to the invention can be carried out such that the compounds of the formula (I) are added once, several times or continuously.

20 The sum of the concentrations of the compounds of the formulae (I) and (II) in the cell suspension can be between 1 and 900 mM, preferably between 2 and 500 mM, particularly preferably between 3 and 250 mM.

In order to increase the solubility of the starting compound in the reaction medium, it is possible, in a preferred embodiment, to add auxiliary substances such as polar, water-miscible solvents, such as glycerol, dimethylformamide or dimethyl sulphoxide, or other auxiliary substances, such as cyclodextrins.

25 In addition, the process according to the invention can be carried out in the presence of an organic solvent, for example in a multiphase system such as, in particular, a two-phase system.

30 Organic solvents which are suitable for this purpose are, for example, organic solvents which are not miscible with water or which are miscible with at most 10%

by volume, such as aliphatic or aromatic, where appropriate chlorinated, solvents, such as petroleum ether, hexane, octane, heptane, toluene, the isomeric xylenes, chlorobenzene, dichloromethane and silicone oils. Frequently, the starting compound can also itself be used as the organic phase.

5

The compounds of the formula (II) can be isolated in a manner known per se, for example by extracting with an organic solvent, or isolated, if a multiphase system was used, by means of separating off the organic phase and, where appropriate, further extraction and subsequent removal of the organic solvent.

10

Preference is given to using, for this purpose, solvents such as toluene, ethyl acetate, dichloromethane, isobutyl ketone, cyclohexane and methylcyclohexane, preferably ethyl acetate. The extraction can in this case be effected by either continuously or discontinuously supplying the extracting agent. In the simplest case, the purification is effected by extracting, while shaking, with the previously mentioned extracting agents.

15

20

If desired, a further purification can be effected by means of distillation or, in the case of compounds of the formula (I) which are solid at room temperature, by means of recrystallization.

If the process according to the invention is carried out in a multiphase system, the product can also be isolated directly by subjecting the organic phase to fractional distillation.

25

In a manner according to the invention, the enantiomer-enriched compounds of the formula (II) are obtained, with the stereogenic carbon atom which carries the heteroaryl group and the hydroxyl group usually exhibiting the (S) configuration.

30

The process according to the invention is particularly suitable for preparing methyl (S)-3-hydroxy-3-(2-thiophenyl)propanoate, ethyl (S)-3-hydroxy-3-(2-thiophenyl)-

propanoate, methyl (S)-3-hydroxy-3-(3-thiophenyl)propanoate, ethyl (S)-3-hydroxy-3-(3-thiophenyl)propanoate, methyl (S)-3-hydroxy-3-(2-furanyl)propanoate, ethyl (S)-3-hydroxy-3-(2-furanyl)propanoate, methyl (S)-3-hydroxy-3-(3-furanyl)propanoate, ethyl (S)-3-hydroxy-3-(3-furanyl)propanoate, methyl (S)-3-hydroxy-3-(2-pyridinyl)propanoate, ethyl (S)-3-hydroxy-3-(2-pyridinyl)propanoate, methyl (S)-3-hydroxy-3-(3-pyridinyl)propanoate, ethyl (S)-3-hydroxy-3-(3-pyridinyl)propanoate, methyl (S)-3-hydroxy-3-(4-pyridinyl)propanoate, ethyl (S)-3-hydroxy-3-(4-pyridinyl)propanoate, (S)-3-hydroxy-3-(2-thiophenyl)propanonitrile, (S)-3-hydroxy-3-(3-thiophenyl)propanonitrile, (S)-3-hydroxy-3-(2-furanyl)propanonitrile, (S)-3-hydroxy-3-(3-furanyl)propanonitrile, (S)-3-hydroxy-3-(2-pyridinyl)propanonitrile, (S)-3-hydroxy-3-(3-pyridinyl)propanonitrile, (S)-3-hydroxy-3-(4-pyridinyl)propanonitrile and N-(methyl)-(S)-3-hydroxy-3-(2-thiophenyl)propanamide.

The process according to the invention is furthermore suitable, in particular, as step a) in a process for preparing enantiomer-enriched compounds of the formula (VI),

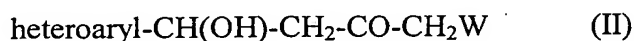


in which

heteroaryl has the same meaning as that given under formula (I), and  $\text{R}^2$  and  $\text{R}^3$  are, in each case independently of each other, hydrogen,  $\text{C}_1\text{-C}_8\text{-alkyl}$ ,  $\text{C}_4\text{-C}_{14}\text{-aryl}$  or  $\text{C}_5\text{-C}_{15}\text{-arylalkyl}$ , or the two radicals  $\text{R}^2$  and  $\text{R}^3$  are together  $\text{C}_3\text{-C}_{12}\text{-alkylene}$ , which is characterized in that

- in a step a),

compounds of the formula (I) are converted, as previously described, into enantiomer-enriched compounds of formula (II)



where, in each case,

heteroaryl and W have the meanings mentioned under formula (I), and

5

- in a step b)

- i) when W is  $\text{COOR}^1$  and  $\text{R}^1$  is hydrogen,  $\text{C}_1\text{-C}_8\text{-alkyl}$ ,  $\text{C}_4\text{-C}_{10}\text{-aryl}$  or  $\text{C}_5\text{-C}_{11}\text{-arylalkyl}$ ,

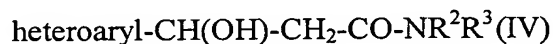
10

the enantiomer-enriched compounds of formula (II) are reacted with amines of the formula (III)



15

in which  $\text{R}^2$  and  $\text{R}^3$  have the meaning mentioned under formula (VI), to give enantiomer-enriched compounds of the formula (IV)



20

in which heteroaryl,  $\text{R}^2$  and  $\text{R}^3$  have the previously mentioned meanings, or

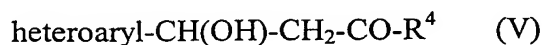
- ii) when W is  $\text{CON(R}^1\text{)}_2$  and the  $\text{R}^1$  radicals are in each case, independently of each other, hydrogen,  $\text{C}_1\text{-C}_8\text{-alkyl}$ ,  $\text{C}_4\text{-C}_{10}\text{-aryl}$  or  $\text{C}_5\text{-C}_{11}\text{-arylalkyl}$ , or the two  $\text{R}^1$  radicals are together  $\text{C}_3\text{-C}_5\text{-alkylene}$ ,

25

the enantiomer-enriched compounds of the formula (II) are converted, where appropriate by reacting with amines of the formula (III), into enantiomer-enriched compounds of the formula (IV), and

30

- iii) when W is CN, the compounds of the formula (II) are converted directly, by means of aminolysis/hydrolysis, into compounds of the formula (IV), or are initially converted, by means of hydrolysis, partial hydrolysis or mixed alcoholysis/hydrolysis, into compounds of the formula (V)



in which heteroaryl has the meaning given under formula (I)

and  $\text{R}^4$  is  $\text{OR}^1$  or  $\text{NH}_2$ , where  $\text{R}^1$  has the abovementioned meaning, and

are then converted, by amidation in analogy with i) or, where appropriate, in analogy with ii), into enantiomer-enriched compounds of the formula (IV)

- in a step c),

the enantiomer-enriched compounds of the formula (IV) are converted, by means of reduction, into enantiomer-enriched compounds of the formula (VI) having the abovementioned meaning.

In the formulae (III), (IV) and (VI),  $\text{R}^2$  and  $\text{R}^3$  are particularly preferably, in each case independently, hydrogen, methyl, ethyl, isopropyl, phenyl or benzyl.

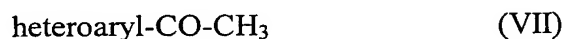
In the formulae (III), (IV) and (VI),  $\text{NR}^1\text{R}^2$  is, in its entirety, particularly preferably methylamino, ethylamino and isopropylamino.

In the formulae (III), (IV) and (VI),  $\text{NR}^1\text{R}^2$  is, in its entirety, very particularly preferably methylamino.



The compounds of the formula (I) which can be used for the process according to the invention comprising steps a), b) and c) are either known from the literature or can be prepared in analogy with the literature.

- 5 Compounds of the formula (I) in which W is not CN are preferably obtained by reacting compounds of formula (VII)



- 10 in which heteroaryl has the meaning and preference ranges mentioned under formula (I),

with compounds of the formula (VIII),

- 15  $\text{R}^1\text{-O-W} \quad (\text{VIII})$

in which

$\text{R}^1$  and W have the same meanings as those which were given under the formula (I), with W not being CN, in the presence of a base.

20

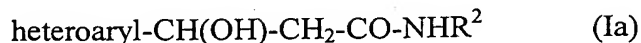
The reaction of 2-acetylthiophene with dimethyl carbonate, diethyl carbonate, diphenyl carbonate or dibenzyl carbonate, methyl N-methyl carbamate, ethyl N-methyl carbamate, methyl N,N-dimethyl carbamate or ethyl N,N-dimethyl carbamate may be mentioned by way of example.

25

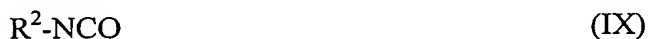
Such a reaction is described, for example, in Tetrahedron Lett. 1998, 39, 4995 and can be applied in an analogous manner, for example, for the reaction of 2-acetylthiophene with methyl N-methyl carbamate or ethyl N-methyl carbamate to give N-(methyl)-3-oxo-3-(2-thiophenyl)propanamide.

30

It is furthermore also possible to obtain compounds of the formula (Ia)



5 by reacting compounds of the formula (VII) with compounds of the formula (IX)



in the presence of a base.

10

In step b) of the process according to the invention, the enantiomer-enriched compounds of the formula (II) are converted in a manner known per se, in accordance with i), ii) or iii), into enantiomer-enriched compounds of the formula (IV).

15

Houben Weyl "Methoden der Organischen Chemie [Methods of organic chemistry]", 4th edition, volume E 5, 941-1010 provides a review of the preparation of carboxamides from carboxylic acids, carboxylic esters or other carboxamides.

20

If liquid or gaseous amines of the formula (III) are employed at room temperature, preference is then given to using solutions of the amines. For example, in the case of methylamine, it is possible to advantageously use solutions consisting of methylamine in water, methanol or ethanol for reacting compounds of the formula (II) in which W is  $\text{COOR}^1$ . Reactions of amines of the formula (III) in the presence of coupling reagents such as 2-halopyridinium or 2-halo-1,3-thiazolium salts, or in the presence of acid cation exchangers, are suitable, for example, for converting free carboxylic acids of the formula (II) into the amides of the formula (IV).

25

According to step b), enantiomer-enriched compounds of the formula (IV) are then obtained from enantiomer-enriched compounds of the formula (II).

30

The compounds of the formula (IV) can then be reduced to give the compounds of the formula (VI). The reduction of carboxamides to give the corresponding amines is known in principle and is presented in summary in Houben Weyl "Methoden der Organischen Chemie", 4th edition, volume E 16 d, 987-1003.

5

Preference is given to reacting compounds of the formula (VI) with complex boron hydrides or aluminium hydrides, such as lithium aluminium hydride, Red-Al® (sodium bis(2-methoxyethoxy)dihydroaluminate) or sodium borohydride.

10

Particular preference is given to reacting compounds of the formula (VI) with lithium aluminium hydride.

15

The reductions are preferably carried out at temperatures in the range from room temperature to 150°C, particularly preferably in the range from 50 to 110°C. While the reductions are usually carried out in ethers as solvents, preferably in cyclic ethers such as tetrahydrofuran or dioxane, reactions using Red-Al® can also be carried out in toluene as the solvent.

20

The enantiomer-enriched compounds of the formula (VI) are obtained in a manner according to the invention.

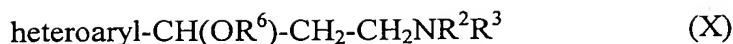
The following may be mentioned as being preferred compounds of the formula (VI):

25

(1S)-3-(methylamino)-1-(2-thiophenyl)-1-propanol, (1R)-3-(methylamino)-1-(2-thiophenyl)-1-propanol, (1S)-3-(dimethylamino)-1-(2-thiophenyl)-1-propanol and (1R)-3-(dimethylamino)-1-(2-thiophenyl)-1-propanol, with (1S)-3-(methylamino)-1-(2-thiophenyl)-1-propanol being even more preferred.

30

The enantiomer-enriched compounds of the formula (VI) which can be prepared in accordance with the invention are particularly suitable for preparing enantiomer-enriched compounds of the formula (X)



in which

5

heteroaryl,  $\text{R}^2$  and  $\text{R}^3$  have the meanings and preference regions given under formula (I), and

10

$\text{R}^6$  is phenyl or naphthyl which can be substituted, not at all, once or more than once, by substituents which are selected, in each case independently of each other, from the group cyano,  $\text{CO-(C}_1\text{-C}_{12}\text{-alkyl)}$ ,  $\text{O-(C}_1\text{-C}_{12}\text{-alkyl)}$ ,  $\text{(C}_1\text{-C}_{12}\text{-alkyl)}$ , fluorine, chlorine, bromine or  $\text{C}_1\text{-C}_{12}\text{-fluoroalkyl}$ .

$\text{R}^6$  is preferably naphthyl.

15

Preferred compounds of the formula (X) are

(S)-N-methyl-3-(1-naphthalenyloxy)-3-(2-thienyl)propylamine and (R)-N-methyl-3-(1-naphthalenyloxy)-3-(2-thienyl)propylamine and their ammonium salts.

20

The invention therefore also encompasses a process which

- as step d),

25

encompasses the reaction of enantiomer-enriched compounds of the formula (VI) with compounds of the formula (XI) to give enantiomer-enriched compounds of the formula (X) in the presence of a base.

In formula (XI),

30



R<sup>6</sup> has the meaning mentioned under the formula (X), and

Hal is fluorine, chlorine, bromine or iodine, preferably fluorine.

5

1-Fluoronaphthalene and 4-chlorobenzotrifluoride are preferably used as compounds of the formula (XI).

10

The bases which can be used are those which are able to at least partially deprotonate the compounds of the formula (VI) at the alcohol function.

15

These bases include, for example, alkaline earth metal or alkali metal hydrides, hydroxides, amides, alcoholates or carbonates, such as sodium hydride, sodium amide, lithium diethylamide, sodium methoxide, sodium ethoxide, potassium tert-butoxide, sodium hydroxide and potassium hydroxide.

20

The compounds which can be prepared in accordance with the invention are particularly suitable for use as active compounds in medicaments such as, in particular, inhibitors of serotonin or noradrenaline uptake, or as intermediates thereof.

25

The process according to the invention enjoys the advantage that it is possible to use readily available starting compounds to synthesize enantiomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives and 3-heteroaryl-1-aminopropan-3-ols, and their secondary products, on an industrial scale in high overall yields, high enantiomer excesses and high purities.

### Examples

#### Examples 1 and 2:

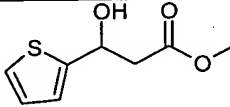
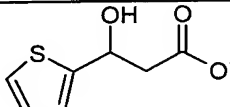
- 5     **Reduction of methyl 3-oxo-(2-thiophenyl)propanoate using different strains of the baker's yeast *Saccharomyces cerevisiae*.**

10     The yeast strains (*Saccharomyces cerevisiae* NG 247, Uniferm GmbH & Co KG, Monheim; *Saccharomyces cerevisiae* Y278, Deutsche Hefe Werke [German Yeast Works] GmbH & Co oHG, Hamburg) were grown overnight, at 28°C and with shaking (200 rpm), in 100 ml Erlenmeyer flasks containing 25 ml of YM medium (yeast extract, 3.0 g/l; malt extract, 3.0 g/l; peptone, 5.0 g/l; glucose, 10.0 g/l).

15     Each of the two yeast strains was incubated, at 28°C and while shaking (200 rpm), in three 1-litre Erlenmeyer flasks which contained 200 ml of YM medium which had previously been inoculated with 12 ml of preliminary culture. Growth was monitored by measuring the optical density at 600 nm (OD<sub>600</sub>). After 6-7 h, the cultures reached an OD<sub>600</sub> of 3 and were harvested by centrifugation (15 min, 8 000 ×g) and stored overnight at 4°C in a refrigerator. For the reaction, 250 µl of 1 M potassium phosphate buffer (pH 7) and 250 µl of 1M methyl 3-oxo-(2-thiophenyl)propanoate were added to 5 ml of the cell pellet and the whole was shaken in screw cap 13 ml glass tubes. 300 µl of the reaction mixture were removed at regular intervals and extracted with 300 µl of ethyl acetate or toluene. After the subsequent centrifugation (5 min, 5 000 ×g) for separating the phases, the organic phase was analysed by chiral  
20     gas chromatography. The results are recorded in Tab. 1.  
25

**Table 1**

Reduction of methyl 3-oxo-(2-thiophenyl)propanoate using different yeast strains.

Example	Yeast strain	[c] of starting compound	Reaction time [h]	Product	Yield	ee (S)
1	NG 247	50 mM	24		75 %	> 97 %
2	Y278	50 mM	24		77 %	> 97 %

5

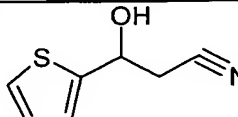
**Example 3:**

Reduction of 3-(2-thiophenyl)-3-oxopropano-1-nitrile using *Saccharomyces cerevisiae* Y278.

The yeast cells were grown, and the reaction was carried out, as described in Examples 1 and 2. The result is recorded in Table 2.

10

**Table 2**

Example	Yeast strain	Starting compound conc.	Reaction time [h]	Product	Yield of product	ee (S)
3	Y278	20 mM	9		22 %	85 %

15

**Examples 4 and 5:**

**Reduction of methyl 3-(2-thiophenyl)-3-oxopropanoate using *Geotrichum candidum*.**

5      200 ml of YM medium were inoculated, in a 1-litre Erlenmeyer flask and as the 1st preliminary culture, with the strain *Geotrichum candidum* ATCC34614 and the flasks were incubated at 28°C for 18 h while being shaken. As the 2nd preliminary culture, two 1-litre Erlenmeyer flasks, in each case containing 200 ml of GC medium (KH<sub>2</sub>PO<sub>4</sub>, 11.18 g/l; K<sub>2</sub>HPO<sub>4</sub>, 3.12 g/l; glycerol, 30.0 g/l; yeast extract, 10.0 g/l; 10 polypeptone, 5.0 g/l), were in each case inoculated with 10 ml of the 1st preliminary culture and likewise shaken at 28°C for 18 h.

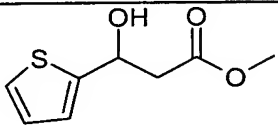
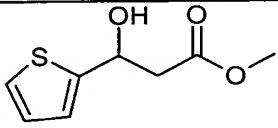
As the main culture, a 10 litre fermenter was loaded with 4.6 litres of GC medium and inoculated with 400 ml of the 2nd preliminary culture. The culture was grown at 15 28°C using an aeration rate of 10 l/min and a stirring rate of 800 rpm. After 10 h, the fermenter was harvested. The cells were sedimented by being centrifuged for 15 min at 6 000 ×g and were then taken up in 100 mM potassium phosphate buffer (PP buffer), pH 6.4, and stored at 4°C in a refrigerator.

20      1.8 g of glucose, 9 ml of 1M PP buffer (pH 7.3) and 71 µl (final concentration 20 mM) or 143 µl (final concentration 40 mM) of methyl 3-(2-thiophenyl)-3-oxopropanoate were added to 16 g of moist biomass and the whole was stirred at 28°C in a 25 ml Schott bottle using a magnetic stirrer. 300 µl of the reaction mixture were removed at regular intervals and extracted with 300 µl of ethyl acetate or toluene and 25 the organic phase was analysed by gas chromatography. The results were recorded in Table 3.



**Table 3**

Reduction of methyl 3-(2-thiophenyl)-3-oxo-propanoate with the strain *Geotrichum candidum* ATCC34614.

Example	Starting compound conc.	Reaction time [h]	Product	Yield of product	ee (S)
4	20 mM	10		71 %	>98 %
5	40 mM	10		72 %	> 98 %

5

**Examples 6 to 12**

Reduction of various  $\beta$ -ketoesters using *Saccharomyces cerevisiae*.

A preliminary culture of the strain *Saccharomyces cerevisiae* NG247 was grown overnight, at 28°C and while shaking, in 100 ml of YM medium in a 1-litre Erlenmeyer flask. As the main culture, three 1-litre Erlenmeyer flasks, which had each been loaded with 200 ml of YM medium, were in each case inoculated with 10 ml of the preliminary culture and shaken at 28°C. After 6 h, the cultures had reached an optical density of between 7 and 8 as measured at 600 nm (OD<sub>600</sub>). The cells were harvested by centrifugation (15 min, 6 000 ×g) and resuspended in 100 mM PP buffer (pH 7) + 3% (w/v) glucose as a 10-fold concentrated cell suspension. 1M ethanolic solutions of the test substances were prepared. In the reaction mixtures, the cell suspension was in each case made to 20 mM with respect to the test substance and incubated at 30°C while being shaken. 300 µl of the reaction mixture were withdrawn at regular intervals and extracted with 300 µl of ethyl acetate or toluene and the organic phase was analysed by gas chromatography. The results are recorded in Tab. 4.

10

15

20

**Table 4**Enantioselective reduction of heterocyclic  $\beta$ -ketoesters.

Example	Starting compound	Starting compound conc.	Reaction time [h]	Product	Conversion	ee
6		20 mM	24 h		92 %	98 %
7		20 mM	24 h		50 %	87 %
8		20 mM	24 h		59 %	93 %
9		20 mM	24 h		92 %	81 %
10		20 mM	24 h		69 %	96 %
11		20 mM	24 h		76 %	96 %
12		20 mM	24 h		99 %	99 %

**Example 13**

## 5 Preparation of methyl 3-oxo-(2-thiophenyl)propanoate

510 ml of dimethyl carbonate and 1 500 ml of toluene were heated to 100°C in a 2L flask and a solution of 257 g of 2-acetylthiophene in 510 ml of dimethyl carbonate

was then added dropwise within the space of 4 hours. The methanol which was formed in the reaction was distilled off as an azeotrope. 120 ml of conc. sulphuric acid were introduced, in 900 g of ice, into a 4L flask and the cooled reaction mixture was added such that 40°C was not exceeded. The mixture was then stirred and the pH was adjusted to pH 1. The phases were separated and the organic phase was extracted three times by shaking with an aqueous solution of sodium sulphate and then concentrated in vacuo. Vacuum distillation of the crude product yielded 278 g of methyl 3-oxo-(2-thiophenyl)propanoate as a transparent, slightly yellowish liquid (98% pure according to GC, 74% of theory).

#### **Example 14**

Preparation of N-methyl-(3S)-3-hydroxy-3-(2-thienyl)propanamide

23 g of methyl (3S)-3-hydroxy-3-(2-thienyl)propanoate from experiments described in the Examples 1 and [lacuna] were initially introduced, and 130 ml of a 2-molar methanolic solution of methylamine were added. This mixture is stirred at 60°C for 4 h, cooled and then concentrated in vacuo. 24 g (purity 87%; 90% of theory) are obtained in this way. The crude product can be used as such for the next step or else recrystallized from methylene chloride and hexane. This yielded 18 g of N-methyl-(3S)-3-hydroxy-3-(2-thienyl)propanamide (75% of theory) in the form of white crystals.

**Example 15**

Preparation of (1S)-3-(methylamino)-1-(2-thienyl)-1-propanol

350 ml of dry tetrahydrofuran are initially introduced together with 10 g of lithium  
5 aluminium hydride and heated to reflux. At the same time, a start is made in adding  
17 g of N-methyl-(3S)-3-hydroxy-3-(2-thienyl)propanamide from Example 14,  
dissolved in 150 g of tetrahydrofuran, dropwise. After this dropwise addition is  
complete, the mixture is subsequently stirred overnight under reflux. The mixture is  
then cooled down to room temperature and 200 ml of water are carefully added  
10 dropwise. 135 ml of a 10% solution of sodium hydroxide were then added dropwise  
and the solution was filtered. The solvent was removed in vacuo. 370 ml of 1 N  
sodium hydroxide solution were added to the crude solution and the whole was  
extracted 3 times with in each case 370 ml of toluene. The organic phases are  
combined and the volatile constituents are removed in vacuo, thereby providing 76 g  
15 (84% purity, 70% of theory).

**Example 16**

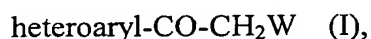
Purification of (1S)-3-(methylamino)-1-(2-thienyl)-1-propanol

20 15 g from Example 15 were dissolved in 150 ml of water at boiling heat, after which  
5 g of active charcoal were added and the mixture was subsequently stirred under  
reflux for a further hour. The suspension was filtered in the hot. The filtrate was  
extracted three times with in each case 100 ml of dichloromethane. The combined  
organic phases were evaporated and the residue was dissolved, at boiling heat, in 50  
25 ml of cyclohexane; it was then crystallized, during cooling, using 600 ml of  
petroleum ether. The crystals were filtered, washed with a little petroleum ether and  
dried. This resulted in 12 g of (1S)-3-(methylamino)-1-(2-thienyl)-1-propanol (98%  
purity, 93% yield).

**Patent claims**

1. Process for preparing stereoisomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives, characterized in that

- compounds of the formula (I)



in which

heteroaryl is a monocyclic or bicyclic aromatic radical having a total of from 5 to 10 ring atoms, where none, one or two ring atoms, selected from the group oxygen, sulphur and nitrogen, can be present per cycle and one or two can be present in the entire aromatic radical, and where the monocyclic or bicyclic aromatic radical is optionally substituted, once, twice or three times, by radicals which are selected, in each case independently of each other, from the group hydroxyl, C<sub>1</sub>-C<sub>8</sub>-alkyl, cyano, COOH, COOM, where M is an alkali metal ion or a half equivalent of an alkaline earth metal ion, COO-(C<sub>1</sub>-C<sub>4</sub>-alkyl), O-(C<sub>1</sub>-C<sub>4</sub>-alkyl), N(C<sub>1</sub>-C<sub>4</sub>-alkyl)<sub>2</sub>, NH-(C<sub>1</sub>-C<sub>4</sub>-alkyl), NO<sub>2</sub>, fluorine, chlorine, bromine, C<sub>1</sub>-C<sub>4</sub>-fluoroalkyl, CONH<sub>2</sub> or CONH-(C<sub>1</sub>-C<sub>4</sub>-alkyl), and

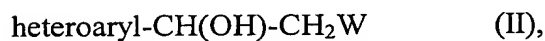
W is C(O)YR<sup>1</sup><sub>n</sub>, where Y is = oxygen and n is = 1 or Y is nitrogen and n is = 2, or

W is CN, and

R<sup>1</sup> are, in each case independently of each other, hydrogen, C<sub>1</sub>-C<sub>8</sub>-alkyl, C<sub>4</sub>-C<sub>10</sub>-aryl or C<sub>5</sub>-C<sub>11</sub>-arylalkyl or, when Y is nitrogen, the two radicals R<sup>1</sup> are together C<sub>3</sub>-C<sub>5</sub> alkylene,

- are reacted in the presence of microorganisms and/or cell preparations thereof, and
- in the presence of water having a pH range of from 3 to 11, based on 25°C,

and, in this way, enantiomer-enriched compounds of the formula (II),



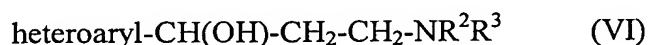
in which heteroaryl and W have the abovementioned meaning, are obtained.

2. Process according to Claim 1, characterized in that, in the formulae (I) and (II), heteroaryl is a monocyclic aromatic radical which has a total of 5 or 6 ring atoms and in which one or two ring atoms is/are selected from the group oxygen, sulphur and nitrogen and where the monocyclic aromatic radical contains no, one or two radical(s) which is/are selected, in each case independently of each other, from the group methyl, ethyl, n-propyl, isopropyl, cyano, COOH, COONa, COOK, COO-methyl, COO-ethyl, COO-tert-butyl, COO-phenyl, methoxy, ethoxy, dimethylamino, diethylamino, methylamino, ethylamino, fluorine, chlorine, NO<sub>2</sub>, trifluoromethyl, pentafluoroethyl, heptafluoroisopropyl, CONH<sub>2</sub> and CONH-methyl.
3. Process according to at least one of Claims 1 and 2, characterized in that, in the formulae (I) and (II), heteroaryl is 2-thiophenyl.
4. Process according to at least one of Claims 1 to 3, characterized in that, use is made of methyl 3-oxo-3-(2-thiophenyl)propanoate, ethyl 3-oxo-3-(2-thiophenyl)propanoate, methyl 3-oxo-3-(3-thiophenyl)propanoate, ethyl 3-oxo-3-(3-thiophenyl)propanoate, methyl 3-oxo-3-(2-furanyl)propanoate, ethyl 3-oxo-3-(2-furanyl)propanoate, methyl 3-oxo-3-(3-furanyl)propanoate, ethyl

- 3-oxo-3-(3-furanyl)propanoate, methyl 3-oxo-3-(2-pyridinyl)propanoate, ethyl 3-oxo-3-(2-pyridinyl)propanoate, methyl 3-oxo-3-(3-pyridinyl)propanoate, ethyl 3-oxo-3-(3-pyridinyl)propanoate, methyl 3-oxo-3-(4-pyridinyl)propanoate, ethyl 3-oxo-3-(4-pyridinyl)propanoate, 3-oxo-3-(2-thiophenyl)propanonitrile, 3-oxo-3-(3-thiophenyl)propanonitrile, 3-oxo-3-(2-furanyl)propanonitrile, 3-oxo-3-(3-furanyl)propanonitrile, 3-oxo-3-(2-pyridinyl)propanonitrile, 3-oxo-3-(3-pyridinyl)propanonitrile, 3-oxo-3-(4-pyridinyl)propanonitrile and N-(methyl)-3-oxo-3-(2-thiophenyl)propanamide.
- 5
- 10 5. Process according to at least one of Claims 1 to 4, characterized in that the microorganisms employed are bacteria, yeasts or fungi.
6. Process according to at least one of Claims 1 to 5, characterized in that the microorganisms employed are yeasts and fungi of the genera *Saccharomyces*,  
 15 *Geotrichum*, *Candida*, *Pichia*, *Hansenula*, *Yarrowia*, *Rhizopus*, *Mortierella*, *Mucor*, *Sporotrichum*, *Rhodotorula*, *Trichoderma*, *Aspergillus*, *Penicillium*, *Pullaria*, *Cunninghamella* and *Curvularia*.
7. Process according to at least one of Claims 1 to 6, characterized in that the  
 20 sum of the concentrations of the compounds of the formulae (I) and (II) in the cell suspension is between 1 and 900 mM.
8. Process according to at least one of Claims 1 to 7, characterized in that the process is carried out in the presence of an organic solvent.
- 25
9. Process according to at least one of Claims 1 to 8, characterized in that the following are prepared: methyl (S)-3-hydroxy-3-(2-thiophenyl)propanoate, ethyl (S)-3-hydroxy-3-(2-thiophenyl)propanoate, methyl (S)-3-hydroxy-3-(3-thiophenyl)propanoate, ethyl (S)-3-hydroxy-3-(3-thiophenyl)propanoate,  
 30 methyl (S)-3-hydroxy-3-(2-furanyl)propanoate, ethyl (S)-3-hydroxy-3-(2-furanyl)propanoate, methyl (S)-3-hydroxy-3-(3-furanyl)propanoate, ethyl (S)-

3-hydroxy-3-(3-furanyl)propanoate, methyl (S)-3-hydroxy-3-(2-pyridinyl)-  
 propanoate, ethyl (S)-3-hydroxy-3-(2-pyridinyl)propanoate, methyl (S)-3-  
 hydroxy-3-(3-pyridinyl)propanoate, ethyl (S)-3-hydroxy-3-(3-pyridinyl)-  
 propanoate, methyl (S)-3-hydroxy-3-(4-pyridinyl)propanoate, ethyl (S)-3-  
 5 hydroxy-3-(4-pyridinyl)propanoate, (S)-3-hydroxy-3-(2-thiophenyl)propano-  
 nitrile, (S)-3-hydroxy-3-(3-thiophenyl)propanonitrile, (S)-3-hydroxy-3-(2-  
 furanyl)propanonitrile, (S)-3-hydroxy-3-(3-furanyl)propanonitrile, (S)-3-  
 hydroxy-3-(2-pyridinyl)propanonitrile, (S)-3-hydroxy-3-(3-pyridinyl)propano-  
 nitrile, (S)-3-hydroxy-3-(4-pyridinyl)propanonitrile and N-(methyl)-(S)-3-  
 10 hydroxy-3-(2-thiophenyl)propanamide

10. Process for preparing enantiomer-enriched compounds of the formula (VI),



15

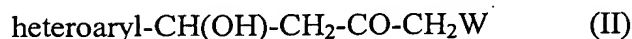
in which

heteroaryl has the same meaning as that given under formula (I), and  
 $\text{R}^2$  and  $\text{R}^3$  are, in each case independently of each other, hydrogen,  $\text{C}_1\text{-C}_8$ -  
 20 alkyl,  $\text{C}_4\text{-C}_{14}$ -aryl or  $\text{C}_5\text{-C}_{15}$ -arylalkyl, or the two radicals  $\text{R}^2$   
 and  $\text{R}^3$  are together  $\text{C}_3\text{-C}_{12}$ -alkylene, which is characterized in  
 that

• in a step a),

25

compounds of the formula (I) are converted, in accordance with at least one of  
 Claims 1 to 9, into enantiomer-enriched compounds of formula (II)



30

where, in each case,



heteroaryl and W have the meanings mentioned under formula (I), and

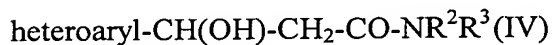
- in a step b)

- 5
- i) when W is  $\text{COOR}^1$  and  $\text{R}^1$  is hydrogen,  $\text{C}_1\text{-C}_8\text{-alkyl}$ ,  $\text{C}_4\text{-C}_{10}\text{-aryl}$  or  $\text{C}_5\text{-C}_{11}\text{-arylalkyl}$ ,

10 the enantiomer-enriched compounds of formula (II) are reacted with amines of the formula (III)



15 in which  $\text{R}^2$  and  $\text{R}^3$  have the meaning mentioned under formula (VI), to give enantiomer-enriched compounds of the formula (IV)



20 in which heteroaryl,  $\text{R}^2$  and  $\text{R}^3$  have the previously mentioned meanings, or

- 25
- ii) when W is  $\text{CON(R}^1)_2$  and the  $\text{R}^1$  radicals are in each case, independently of each other, hydrogen,  $\text{C}_1\text{-C}_8\text{-alkyl}$ ,  $\text{C}_4\text{-C}_{10}\text{-aryl}$  or  $\text{C}_5\text{-C}_{11}\text{-arylalkyl}$ , or the two  $\text{R}^1$  radicals are together  $\text{C}_3\text{-C}_5\text{-alkylene}$ ,

the enantiomer-enriched compounds of the formula (II) are converted, where appropriate by reacting with amines of the formula (III), into enantiomer-enriched compounds of the formula (IV), and

- 30
- iii) when W is CN, the compounds of the formula (II) are converted directly, by means of aminolysis/hydrolysis, into compounds of the

formula (IV), or are initially converted, by means of hydrolysis, partial hydrolysis or mixed alcoholysis/hydrolysis, into compounds of the formula (V)



in which heteroaryl has the meaning given under formula (I)

and  $R^4$  is  $OR^1$  or  $NH_2$ , where  $R^1$  has the abovementioned meaning,  
10 and

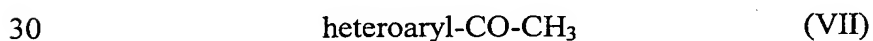
are then converted, by amidation in analogy with i) or, where appropriate, in analogy with ii), into enantiomer-enriched compounds of the formula (IV)

- in a step c),

the enantiomer-enriched compounds of the formula (IV) are converted, by means of reduction, into enantiomer-enriched compounds of the formula (VI) having the abovementioned meaning.

11. Process according to Claim 10, characterized in that, in the formulae (III), (IV) and (VI), R<sup>2</sup> and R<sup>3</sup> are, in each case independently, hydrogen, methyl, ethyl, isopropyl, phenyl or benzyl.

12. Process according to at least one of Claims 10 and 11, characterized in that compounds of the formula (I) in which W is not CN are obtained by reacting compounds of the formula (VII)



in which heteroaryl has the meaning and preference ranges mentioned under formula (I),

with compounds of the formula (VIII),



in which

R<sup>1</sup> and W have the same meanings as those which were given under the formula (I), with W not being CN, in the presence of a base.

13. Process according to at least one of Claims 10 to 12, characterized in that the reduction of compounds of the formula (VI) is effected using complex boron hydrides or aluminium hydrides.

14. Process according to at least one of Claims 10 to 13, characterized in that (1S)-3-(methylamino)-1-(2-thiophenyl)-1-propanol, (1R)-3-(methylamino)-1-(2-thiophenyl)-1-propanol, (1S)-3-(dimethylamino)-1-(2-thiophenyl)-1-propanol or (1R)-3-(dimethylamino)-1-(2-thiophenyl)-1-propanol is prepared.

15. Process according to at least one of Claims 10 to 14, characterized in that

- in a step d),

the enantiomer-enriched compounds of the formula (VI) are reacted, in the presence of base, with compounds of the formula (XI)

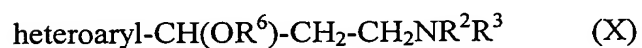


in which

R<sup>6</sup> is phenyl or naphthyl which can be substituted, not at all, once or more than once, by substituents which are selected, in each case independently of each other, from the group cyano, CO-(C<sub>1</sub>-C<sub>12</sub>-alkyl), O-(C<sub>1</sub>-C<sub>12</sub>-alkyl), (C<sub>1</sub>-C<sub>12</sub>-alkyl), fluorine, chlorine, bromine or C<sub>1</sub>-C<sub>12</sub>-fluoroalkyl, and

Hal is fluorine, chlorine, bromine or iodine,

to give enantiomer-enriched compounds of the formula (X),



in which heteroaryl, R<sup>2</sup> and R<sup>3</sup> have the meaning given under formula (I) and R<sup>6</sup> has the meaning given under formula (XI).

16. Process according to Claim 15, characterized in that (S)-N-methyl-3-(1-naphthalenyloxy)-3-(2-thienyl)propylamine and (R)-N-methyl-3-(1-naphthalenyloxy)-3-(2-thienyl)propylamine, or their ammonium salts, are prepared.

17. Use of compounds which have been prepared according to at least one of Claims 1 to 9 or according to at least one of Claims 10 to 14 or according to at least one of Claims 15 and 16 as active compounds in medicaments such as, in particular, serotonin or noradrenaline uptake inhibitors, or as intermediates thereof.

**Process for preparing 3-heteroaryl-3-hydroxypropanoic acid derivatives**

**Abstract**

The invention relates to a process for preparing enantiomer-enriched 3-heteroaryl-3-hydroxypropanoic acid derivatives and 3-heteroaryl-1-aminopropan-3-ols, and to their use.